

# Manifolds with boundary.

We refer to

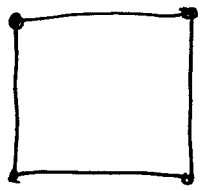
$$\{(x_1, \dots, x_n) \mid x_n \geq 0\} \text{ as } H^n$$

Definition. A subset  $X$  of  $\mathbb{R}^N$  is called a  $k$ -dimensional manifold with boundary if each  $x \in X$  has an open neighborhood diffeomorphic to an open set in  $H^k$ .

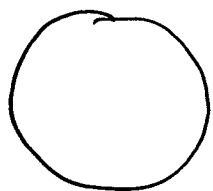
Examples.



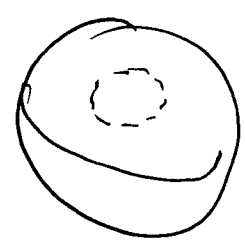
yes



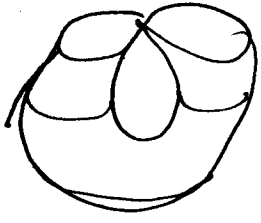
no



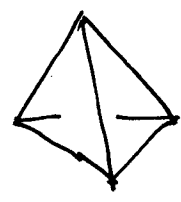
yes



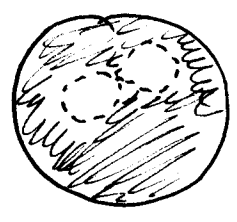
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no



no



yes

Proposition. If  $X$  is a manifold with boundary and  $Y$  is a manifold without boundary, then

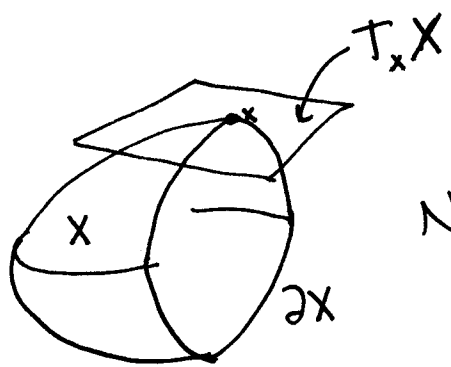
$$X \times Y \text{ is a manifold with boundary}$$

$$\partial(X \times Y) = \partial X \times Y$$

$$\dim(X \times Y) = \dim X + \dim Y.$$

Proof. Easy.

Tangent spaces and derivatives work in the same way for these manifolds.



Note:  $T_x X$  is still a  $k$ -dimensional linear subspace, even if  $x \in \partial X$ .

Proposition. If  $X$  is a  $k$ -dimensional manifold with boundary, then  $\partial X$  is a  $k-1$  dimensional manifold without boundary.

③

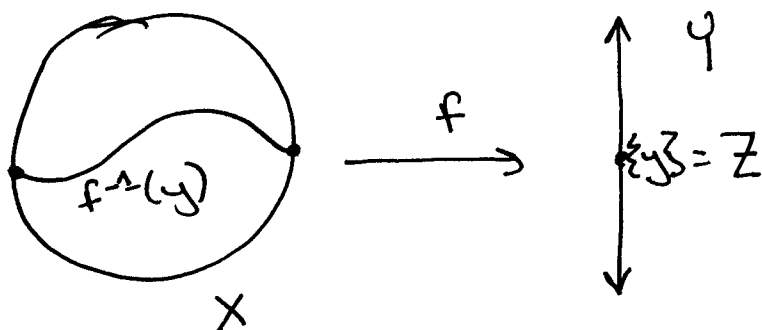
Proof. ← skipped to save time →

For  $x \in \partial X$ ,  $T_x(\partial X) \subset T_x X$ . We call

$\partial f$  the restriction of  $f$  to  $\partial X$   
for any  $f: X \rightarrow Y$ . Note

$d(\partial f): T_x(\partial X) \rightarrow T_y Y$  is the restriction  
of  $df_x$

Now suppose



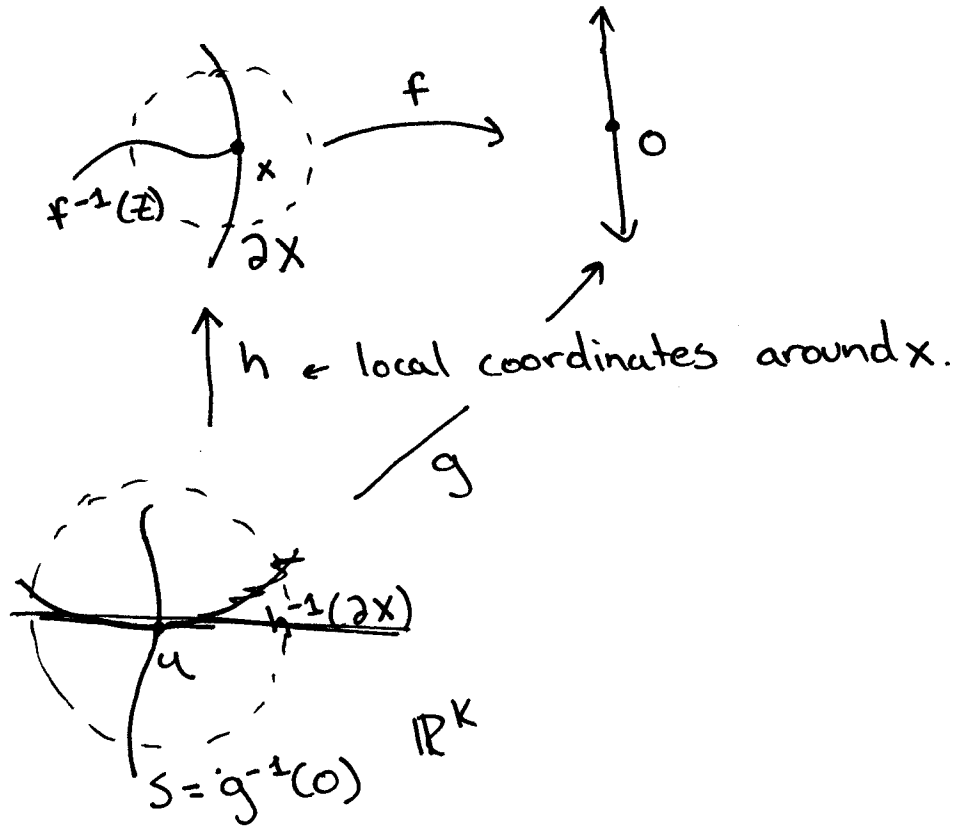
We want to guarantee that  $f^{-1}(Z)$  is a  
submanifold-with-boundary of  $X$ .

Theorem. If  $f: X \rightarrow Y$  and  $\partial f: \partial X \rightarrow Y$  are  
both transversal to  $Z_z$  ~~then~~ (and  $Z$  has  
no boundary) then

$f^{-1}(Z)$  is a manifold with boundary  $f^{-1}(Z) \cap \partial X$   
and  $\text{cod } f^{-1}(Z) = \text{cod } Z$ .

Proof. On  $\text{Int}(X)$ , everything works as before.

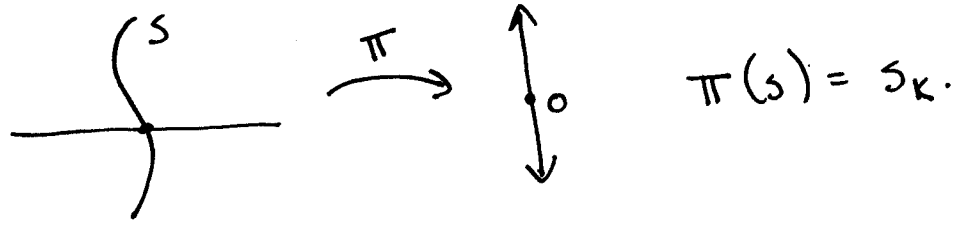
Consider (wlog) the case



By transversality (of  $f$ ), ~~we can~~ <sup>if we</sup> extend  $h$  and  $g$  to an open neighborhood  $U$  of  $u$ ,  $g^{-1}(o)$  is a submanifold  $S$  of  $\mathbb{R}^k$ .

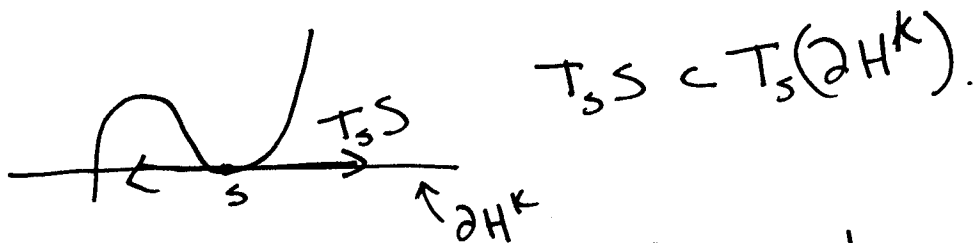
Is  $S \cap H^k$  a submanifold with boundary of  $H^k$ ?

Consider



We claim 0 is a regular value for  $\pi$  on  $S$ . (5)

Suppose not. Then  $\exists s \in S$  with  $\pi(s) = 0$  and  $d\pi_s = 0$ .  
 Since  $\pi$  is linear,  $d\pi = \pi$  and this means



This means that  $T_s S \stackrel{=}{=} \text{Ker } dg \subset T_s(\partial H^K)$ .  
 But  $d(\partial g)$  is the restriction of  $dg$  to  $T_s(\partial H^K)$ , so

$d(\partial g)$  and  $dg$  have the same kernel at  $s$ .

But by transversality  $d(\partial g)$  and  $dg$  are both surjective onto  $\mathbb{R}$ . So if each has rank 1,

$$\dim \text{Ker } dg = K - \text{cod } Z - 1$$

$$\dim \text{Ker } d(\partial g) = (K-1) - \text{cod } Z - 1$$

but these aren't equal!  $\times$

So 0 is a regular value for  $\pi$  on  $S$ .

Claim. If  $S$  is a manifold w/o boundary and  $\pi: S \rightarrow \mathbb{R}$  is a smooth function with regular value 0, then ⑥

$$\{s \in S \mid \pi(s) \geq 0\}$$

is a manifold with boundary ~~and~~  $\pi^{-1}(0)$ .

Proof. Local submersion theorem at boundary points.

Sard's Theorem. For any smooth map  $f: X \rightarrow Y$  of a mfd with boundary into a boundaryless manifold  $Y$ , almost every  $y \in Y$  is a regular value of  $f$  and  $\partial f$ .

Proof. Easy.

# Theory of manifolds w/boundary.

1.

We first observe:

Theorem. Every compact, connected 1-manifold with boundary is either  $I$  or  $S^1$ .

Corollary. The boundary of any compact 1-manifold with boundary is an even number of points.

Theorem. If  $X$  is a compact manifold with boundary,  $\nexists$  no smooth map  $g: X \rightarrow \partial X$  with  $\partial g: \partial X \rightarrow \partial X$  the identity. (Such a map is called a "retraction".)

Proof. If so, let  $z \in \partial X$  be a regular value.

$g^{-1}(\{z\})$  is a submanifold of  $X$  with boundary.

Now  $\{z\}$  has codimension  $\dim \partial X = \dim X - 1$ , so

$g^{-1}(\{z\})$  has codimension  $\dim X - 1$   
and has dimension 1.

Then  $\partial g^{-1}(z) = g^{-1}(z) \cap \partial X = \{z\}$ .

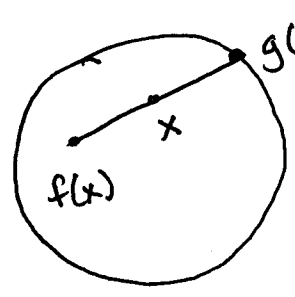
But this is only one point, not an even #.

We can now show

Brouwer Fixed Point Theorem.

Any smooth map  $f: B^n \rightarrow B^n$  must have a fixed point.

Proof. Suppose not. We build a map  $g$  from  $f$



so that  $g$  is a retraction, by taking

$g(x) =$  the intersection of the line through  $f(x)$  and  $x$  with  $\partial B^n$ .

if  $x \in \text{Int } B^n$  and  $g(x) = x$  if  $x \in \partial B^n$ .

Is  $g$  smooth? Well, yes. But how to prove it?

Well, the line through  $f(x)$  and  $x$  looks like

$$L(t) = (1-t)f(x) + tx, \quad t \geq 0.$$

We see  $\|L\| = 1$  (or  $L \in \partial B^n$ ) when

$$(1-t)^2 \|f(x) \cdot f(x)\| + 2t(1-t) f(x) \cdot x + t^2 x \cdot x = 1.$$

Gather like terms in  $t$  to see that this is a nice quadratic.